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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/713,019	11/17/2003	Kenji Uchida	Q78599	9747
23373	7590	03/24/2006	EXAMINER	
SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037			DINH, TUAN T	
			ART UNIT	PAPER NUMBER
			2841	

DATE MAILED: 03/24/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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<b>Office Action Summary</b>	<b>Application No.</b> 10/713,019	<b>Applicant(s)</b> UCHIDA ET AL.	
	<b>Examiner</b> Tuan T. Dinh	<b>Art Unit</b> 2841	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-12 is/are pending in the application.  
     4a) Of the above claim(s) 5,6 and 8-12 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4 and 7 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
     a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input checked="" type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. <u>03/16/06</u> . |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)                                    |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>05/24/04</u> . | 6) <input type="checkbox"/> Other: ____.   |

### DETAILED ACTION

1. Applicant's election without traverse of Group I (claims 1-15, and Specie A, figure 3, claims 1-5, and 11-15) in the reply filed on 12/30/05 is not acknowledged.

Examiner is called Mr. Howard Bensten on March 14, 2006 because there are only 12 claims in the instant application. After carefully review, he agrees and elects Group I, Specie I (figure 3, claims 1-7) without traverse.

Because claim 5 recites the limitation not read on Specie I (figure 3), claim 5 does read on figures 7 or 8. Therefore, claim 5 is withdrawn from further consideration as being drawn to non-elected subject matter.

### ***Specification***

2. The disclosure is objected to because of the following informalities:

Page 1, at the last line, please, correct the typo error of " in a vari ty".

Page 3, line 28, change "the m tal" to - - the metal - - for correct typo error.

Page 3, line 29, change "that is op ned from the bottom f" to - - that is opened from the bottom of - - for correct typo error.

Page 4, line 28, change "r a combination" to - - or a combination" for correct typo error.

Page 5, line 27, please, correct the typo error of " such that th el ctroninc" to - - such that the electronic".

Page 5, line 29, change "and f r m chanically" to - -and for mechanically - - for proper typo.

Page 7, line 28, change "electronic pads f th s cond" to - - electronic pads of the second" for correct typo error.

Page 8, line 26, change "secti nal view f" to - - sectional view of" for correct typo error.

Page 9 at the last paragraph, line 1, change "the d scription" to - - the description - - for proper typo error.

Page 10, at the last paragraph, line 4, change "surfaces f the base" to - - surfaces of the base - - for correct typo error.

Page 10, at the last paragraph, line 6, change "pads 13a to b c nnectd" to - - pads 13a to be connected - - for correct typo error.

Page 11, at the last paragraph, line 4, change "nickel, r a combinati n" to - - nickel, or a combination - - for correct typo error.

Page 11, at the last paragraph, line 5, change "th metal" to - - the metal - - for correct typo error.

Page 11, at the last paragraph, line 6, change "th surface" to - - the surface - - for correct typo error.

Please, correct typo on pages 12-13 at the last paragraph.

From page 14-21, please check spellings and mistypo on each of pages.

Appropriate correction is required.

***Claim Objections***

3. Claim 3 is objected to because of the following informalities:

Claim 3, line 4, change "fixed t" to - - to - - for proper typo.

Claim 3, line 5, change "that a porti n" to - - that a portion - - for correct typo error.

Claim 3, line 6, change "is expos d in the r cess through th" to - - is exposed in the recess through the - - for correct typo error.

Claim 3, line 7, change "th electronic" to - - the electronic - - for correct typo error.

Appropriate correction is required.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1-4, and 6-7 are rejected under 35 U.S.C. 102(b) as being anticipated by Fukuoka (U.S. Patent 5,949,654).

As to claim 1, Fukuoka discloses an electronic device as shown in figures 1-13 comprising:

an electronic component (102 or 202);

a metal substrate (110) having a recess, see figure 2 large enough to fully receive the electronic component (102, 202); and

a wiring board (101 or 408-figure 13) having a surface area larger than the area of the opening of the recess and having a wiring pattern (408c-d-figure 13) formed on the top surface thereof, wherein

at least a portion of the surface of the wiring board is fixed to one of the top surface and the bottom surface of the metal substrate, and

wherein the electronic component (102, 202) is mechanically fixed to the bottom of the recess while being electrically connected to the wiring pattern (by a solder).

As to claim 2, Fukuoka discloses the wiring board (408) comprises another wiring pattern (408d) on the bottom surface thereof, and wherein the wiring pattern on the top surface of the wiring board is electrically connected to the wiring pattern on the bottom surface of the wiring board via (conductor filled in the through hole and make electrical connection on each of the layers) a contact formed through the wiring board (408).

As to claim 3, Fukuoka discloses the metal substrate (110) comprises a window that is opened from the bottom of the recess through to the bottom surface thereof, wherein the wiring board (101 or 408) is fixed to the bottom surface of the metal substrate (110) so that a portion of the wiring pattern is exposed in the recess through the window and wherein the electronic component (102, 202) is electrically connected to

the wiring pattern exposed in the recess through the window using a bonding wire (210 or 411).

As to claim 4, Fukuoka further comprising a metal lid (103) fixed to close the opening of the recess.

As to claim 7, Fukuoka discloses the wiring board (408) is fixed to the metal substrate (413-figure 13) using one of diffusion bonding and fusion bonding, in which a surface treatment film made of gold, silver, tin, or a combination thereof is formed on each of a surface area of the wiring pattern and a surface area of the metal substrate to be bonded together and the surface treatment film of the wiring pattern and the surface treatment film of the metal substrate are formed into contact under a predetermined condition.

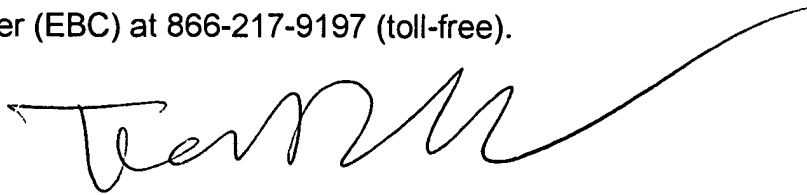
### ***Conclusion***

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Okuaki, Hidada et al., Tamamura et al., and Ho disclose related art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tuan T. Dinh whose telephone number is 571-272-1929. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kammie Cuneo can be reached on 571-272-1957. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A handwritten signature in black ink, appearing to read 'Tuan Dinh', with a long, sweeping horizontal stroke extending to the right.

Tuan Dinh  
March 16, 2006.